Dep ? 20.5 # 307

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

NAKAMURA, Shunji

Serial No.: 10/003,304

Filed: December 6, 2001

SEMICONDUCTOR MEMORY DEVICE AND

METHOD FOR FABRICATING THE SAME

AFTN: Refund Section Accounting Division Office of Finance

03-

Group Art Unit: 2811

Examiner: Not yet assigned

P.T.O. Confirmation No.: 7007

REQUEST FOR REFUND

Commissioner for Patents Washington, D.C. 20231

Date: June 11, 2002

Sir:

For:

This is a request for a refund with respect to the charges to Deposit Account 01-2340 dated April 25, 2002 (Control Nos. 3 & 4; Fee Codes 103 & 102) for the above-identified patent application. A copy of the monthly statement dated April 30, 2002, in which the error referred to occurs, accompanies this request.

The amount of the refund requested is \$306.00 (\$54.00 + \$252.00) for the filing fee.

The Patent and Trademark Office inadvertently charged the amount of \$54.00 for filing claims in excess of twenty and \$252.00 for filing independent claims in excess of three. However, the application was filed on December 6, 2001, with checks in the amount of \$992.00, including \$740.00 for basic filing fee and \$252.00 for filing three extra independent claims. Since then, no extra claims have been added.

Please make the refund by crediting Account No. 01-2340.

Respectfully Submitted,

ARMSTRONG, WESTERMAN & HATTORI, LLP

Sadao Kinashi Attorney for Applicants

Reg. No. 48,075

SK/fs

** Astro-Disekes N. 1960456B ESTE 1900031723 K. Street, N. W. Washington, D.C. 20006 (202) 659-2930

23850

PATENT TRADEMARK OFFICE

Enclosures:

Copies of canceled checks (No. 52763 & No. 52789); Monthly Statement of April 30, 2002; Application Transmittal filed December 6, 2001 and Date Stamped Postcard

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ARMSTRONG, WESTERMAN, HATTORI, McLELAND & NAUGHTON, LLP

Independent Claims in excess of Three (3)

252:00

ROUTE TO: FS

ARMSTRONG, WESTERMAN, HATTORI, McLELAND & NAUGHTON, LLP 52763

ROUTE TO: FS

UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

MONTHLY STATEMENT OF DEPOSIT ACCOUNT

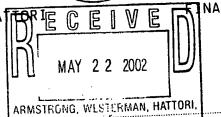
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Account No. 012340				
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Page	1			

ARMSTRONG WESTERMAN HATCH ISTINE LEE
1725 K STREET, NW
SUITE 1000
WASHINGTON DC 20006



PLEASE SEND REMITTANCES TO: Patent and Trademark Office P.O. Box 70541 Chicago, III. 60673

							FEE	CHARGES/	BALANCE
	DATE POSTED		CONTROL NO.	DESCRIPTION* (Serial, Patent, TM, Order)	DOCKET NO). c	ODE	CREDITS	14163.36
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AN AMOUNT SUFFICIENT TO
COVER ALL SERVICES REQUESTED
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*** O.D. INDICATES OVERDRAY

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UTILITY	PATENT
APPLICATION	TRANSMITTAL

(Only for new nonprovisional application) under 37 CFR 1.53(b)

Check Box, if applicable [XX] Duplicate



Total Pages 960456B Attorney Docket No.

First Named Inventor or Application Identifier

Shunji NAKAMURA

Express Mail Label No.

PAGE 1 OF 3

APPLICATION ELEMENTS FOR:

SEMICONDUCTOR MEMORY DEVICE AND METHOD FOR FABRICATING THE SAME

ADDRESS TO: Commissioner for Patents

BOX PATENT APPLICATIONS

Washington, D.C. 20231

- 1. [X] Fee Transmittal Form (Incorporated within this form)
- 2. [X] Specification

Total Pages [79]

3. [X] Drawing(s) (35 USC 113)

Total Sheets [39]

4. [X] Oath or Declaration

Total Pages [2]

- a. [] Newly executed (original or copy)
- b. [X] Copy from prior application (37 CFR 1.63(d) (for continuation/divisional with Box 17 completed).
 - i. [] Deletion of Inventor(s) Signed statement attached deleting inventor(s) named in prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- 5. [X] Incorporation by reference (usable if box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- 6. [] Microfiche Computer Program (Appendix)
- 7. [] Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. [] Computer Readable Copy
 - b. [] Paper Copy (identical to computer copy)
 - c. [] Statement Verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- 8. [X] Assignment to FUJITSU LIMITED, Kawasaki, Japan [Name, city and country] in the prior Application Serial No. 08/660,324, filed on June 4, 1996, has been recorded at Reel 8061, Frame 0193 on July 31, 1996. Please publish the assignee data with the application.
- 9. [] 37 CFR 3.73(b) Statement (when there is an assignee)

[X] Power of Attorney



CARD NO: 06397

U.S. Patent Application

Docket No: 960456B

Serial No:

Filed:

Patent Number:

Issued:

Applicant(s): NAKAMURA, SHUNJI

Papers filed herewith on: 12/06/01

Fees: \$ 992.00

Other: 1.53b-Div. Transmittal; Spec.(79); Drwg.(39

Decl.; IDS w/ 1449; Pre. Amd.

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COMMISSIONER OF PATENTS

Receipt is hereby acknowledged of the papers filed as indicated in connection with the above-identified case. SK /FS